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Sheet 1 of 5 sheet(s)

U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)					Docket No. APPM/5621		Serial No. 09/734,950	
LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT					Applicant Mak, et al.		Confirmation No.:	
(Use several sheets if necessary)					Filing Date December 11, 2000		Group Unknown	
Examiner Unknown								
U.S. Patent Documents								
*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate	
BT	A1	6,024,044	02-15-2000	Law, et al.	118	723 E	10-09-1997	
BT	A2	5,999,293	12-07-1999	Manning	359	139	01-16-1996	
	A3	5,961,924	10-05-1999	Reichert, et al.	422	82.11	10-31-1997	
	A4	5,930,439	07-27-1999	Ojha, et al.	385	129	10-01-1997	
	A5	5,881,199	03-09-1999	Li	385	140	12-02-1996	
	A6	5,689,614	11-18-1997	Gronet, et al.	392	416	07-31-1995	
	A7	5,567,476	10-22-1996	Law, et al.	427	255.7	04-25-1995	
	A8	5,253,319	10-12-1993	Bhagavatula	385	129	02-24-1992	
✓	A9	5,125,946	06-30-1992	Bhagavatula	65	106	12-10-1990	
BT	A10	4,619,680	10-28-1986	Nourshargh, et al.	65	3.12	06-07-1985	
BT	A11	4,578,691	03-25-1986	Murakami, et al.	357	5	09-14-1984	
Foreign Patent Documents								
*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
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OTHER ART								
*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.						
BT	C1	A. Kilian, et al. "Birefringence Free Planar Optical Waveguide Made by Flame Hydrolysis Deposition (FHD) Through Tailoring of the Overcladding", <i>J. Lightwave Tech.</i> Vol. 18, No. 2 (Feb. 2000)						
BT	C2	Kashimura, et al., "Loss Reduction of GeO ₂ -Doped Silica Waveguide with High Refractive Index Difference By High-Temperature Annealing", 39 <i>Jpn. J. Appl. Phys.</i> Vol. 39 No.6A, Pt. 2 (April 2000)						
1	C3	Tan, et al., "Fabrication of Gratings and Design of Diffractive Optical Elements Embossed on Sol-Gel Films" <i>SPIE Conf. on Design, Fabrication & Characterization of Photonic Devices</i> (November 1999)						
✓	C4	Sun, et al., "Building Passive Components with Silica Waveguides", <i>SPIE Conf. on Terahertz & Gigahertz Photonics</i> , pp. 313 – 319 (July 1999)						
BT	C5	Jung, et al., "Inductively Coupled Plasma Etching of Ge-Doped Boron-Phosphosilicate Glass for Planar Lightwave Circuit Devices", <i>J. Non-Crystalline Solids</i> , Vol 259, pp. 191 – 197 (1999)						
Examiner Binh Tran					Date Considered 6/12/03			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								

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U.S. Department of Commerce, Patent and Trademark Office		Docket No.	Serial No.
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(Use several sheets if necessary)		Filing Date	Group
Examiner Unknown		December 11, 2000	Unknown

U.S. Patent Documents

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Foreign Patent Documents

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BT	C11 Beguin, et al., "Planar Optical Devices for Use in Multi-Wavelength Systems", IEEE (1997), pp. 504-505
	C12 Ojha, "Fabrication Technologies for Planar Waveguide WDM Components", 3211 SPIE (December 1996), pp.612 - 621
	C13 Chun, et al. "Birefringence Reduction in a High Boron-Doped Silica-on-Silicon Planar Optical Waveguide", <i>J. Korean Phys. Soc.</i> , Vol. 29, No. 1 (Feb. 1996), pp. 140-142
↓	C14 Li, et al. "Silica-Based Optical Integrated Circuits", <i>IEE Proc. Optoelectron</i> , Vol. 143, No. 5 (October 1996), pp. 263 - 280
BT	C15 Wu, et al., "Fabrication of High Concentration Rare-Earth-Doped Silica-Based Waveguide by MCVD Method", <i>IEEE Photonics Tech. Letters</i> , Vol. 7, No. 6 (June 1995), pp. 655 - 657
Examiner	Date Considered
Binh Tran	6/12/03

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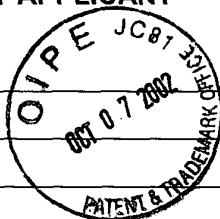
*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
BT	C16	Sun, et al., "Light Coupling from Diode Lasers to Microlensed Silica Waveguides", Conference on Optical Fiber Communication, Vol. 8 (1995), pp. 228-229
J	C17	Sun, et al. "Silica Waveguide Circuits with Low Polarisation Dependence Fabricated on Silica Substrates", <i>Electronics Letters</i> , Vol. 30 (11/24/94), pp. 2032 - 2034
	C18	Tsukahara, et al., "Evaluation of Layered Structures for Micromachines by Means of Ultrasonic Micro-Spectroscopy", <i>1994 Ultrasonics Symposium</i> (1994), pp. 1429 - 1432
↓	C19	Sun, et al., "High Silica Waveguides on Alumina Substrates for Hybrid Optoelectronic Integration", <i>IEEE Photonics Tech. Letters</i> , Vol. 4, No. 6 (June 1992), pp. 630-632
BT	C20	Sun, et al., "Silica-Based Circular Cross-Sectioned Channel Waveguides", <i>IEEE Photonics Tech. Letters</i> , Vol. 3, No. 3 (March 1991), pp. 238-240

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U.S. DEPARTMENT OF COMMERCE
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EXAMINER

U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)		Docket No. AMAT/5621/DISPLAY/ AKT/BG	Serial No. 09/734,950
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant Mak, et al.	Confirmation No.: 3444
(Use several sheets if necessary)		Filing Date December 11, 2000	Group 2874
Examiner	Unknown		



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*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
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BT	A2	5,858,051	01/12/99	Komiyama, et al.	65	386	05/08/96
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	A4						
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BT	B1	197 23 284 A1	10-12-1998	DE	G02B	6/13	<input type="checkbox"/>	<input checked="" type="checkbox"/>
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BT	B3	1 014 121 A2	06-28-2000	EP	G02B	6/125	<input type="checkbox"/>	<input type="checkbox"/>

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	C3	

Examiner Binh Tran

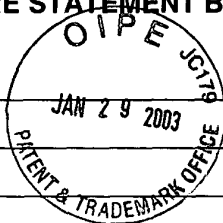
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Sheet 1 of 1 sheet(s)

U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)		Docket No. AMAT/5621/DISPLAY/ AKT/BG	Serial No. 09/734,950
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant MAK, ET AL.	Confirmation No.: 3444
(Use several sheets if necessary)		Filing Date DEC. 11, 2000	Group 1765
Examiner TRAN, BINH X.			

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*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
BT	A1	4,425,146	01/10/1984	Izawa, et al.	65	18.2	12/04/1980
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BT	A4	5,904,491	05/18/1999	Ojha, et al.	438	31	04/23/1997
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*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
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BT	B2	EP 0 803 589 A1	10/29/1997	Europe	C23C	16/40	<input type="checkbox"/>	<input checked="" type="checkbox"/>
BT	B3	JP 06263452	09/20/1994	Japan (English Abstract)	C03B	8/02	<input checked="" type="checkbox"/>	<input type="checkbox"/>

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	C2	
	C3	

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